Electronic Case Files System User's Manual

Getting Started

Introduction

This manual provides instructions on how to use the Electronic Case Files (ECF) system to file documents with the court, or to view and retrieve docket sheets and documents for all cases assigned to this system. Users should have a working knowledge of Internet browsers and Portable Document Format (PDF) software.

Help Desk

Contact the court's Help Desk between the hours of 9:00 A.M. and 4:30 P.M., Monday through Friday, if you need assistance using ECF.

Help Desk - East St. Louis 1-866-867-3169

Help Desk - Benton 1-866-222-2104

OR E-mail: ecfhelp@ilsd.uscourts.gov

ECF System Capabilities

The ECF system allows registered participants with Internet accounts and web browser software to perform the following functions

- Access the court's web page
- View or download the most recent version of the User's Manual, Electronic Filing Rules, and Local Rules
- Self-train on a web-based ECF tutorial
- Practice entering pleadings into ECF using a "training" database that is similar to the official "live" ECF database
- Electronically file pleadings and documents in the actual "live" cases

- View official docket sheets and documents associated with cases
- View reports for cases that were filed electronically

Requirements

Hardware and Software Specifications

The hardware and software specifications to electronically file, view, and retrieve case documents are as follows.

- A personal computer with a Windows or Macintosh operating system
- An Internet provider using Point to Point Protocol (PPP)
- Internet access supporting a transfer rate of 28.8 kbs or higher
- Netscape Navigator software version 4.5, 4.7, or 4.76 or Internet Explorer 5.5
- Software, such as Adobe Acrobat or other portable document format (PDF) conversion software, to convert documents from word processing format to PDF format
- A scanner to convert documents that are not in your word processing system to PDF format

Note: This would be used for documents that cannot be produced electronically, such as photographs, insurance policies, and deposition excerpts. (See Also Electronic Filing Rule 5)

PACER Registration

(See Also Electronic Filing Rule 11)

ECF users must have a PACER account to use the Query and Report features of the ECF system. If you do not have a PACER login, contact the PACER Service Center at 1-800-676-6856 or 1-210-301-6440 to establish an account. You may also register for PACER online at www.pacer.psc.uscourts.gov.

Registering for Access to ECF

(See Also Electronic Filing Rule 2)

Participants must register with the court to receive a login and password for the ECF system. Registration forms can be obtained on our web site at www.ilsd.uscourts.gov/cm_ecf.html or by calling the court at 1-866-867-3169 or 1-866-222-2104. See Appendix A

Completed registration forms should be submitted online or mailed to:

U. S. District Court - Southern District of IllinoisAttn: ECF Attorney RegistrationP. O. Box 249East St. Louis, Illinois 62202

After an account has been established, the participant(s) will be issued a login and password.

Registered users can visit a training version of the system on the Internet at <u>ecf-train.ilsd.uscourts.gov</u> to practice ECF activities and to check the ECF training schedule. We strongly recommend that registered users obtain ECF training or practice in the "training" ECF database before filing documents in the "live" ECF database.

Preparation

Setting Up the Acrobat PDF Reader

To view documents that have been electronically filed on the system, users must install Adobe Reader. All pleadings must be filed in PDF format. When installing this product, please review and follow Adobe's instructions to use Adobe Reader after installation.

Portable Document Format (PDF)

Only PDF documents may be filed with the court using the ECF system. Before sending a document to the court, users should preview the PDF-FORMATTED document to ensure it appears in its entirety and in the proper format.

How to Convert Document to PDF Format

All documents **must** be converted to PDF format <u>before</u> submitting them to the court's ECF system. The conversion process requires software such as Adobe Acrobat versions 4.0 or above. There are various software applications capable of PDF conversion. WordPerfect 9 and 10 have the conversion capability built into the software.

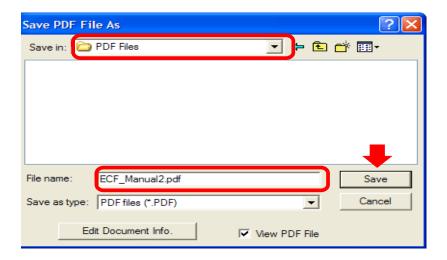
Using Most Word Processing Programs

- Install Adobe Acrobat or other PDF software on your computer
- Open the document to be converted
- Select [File > Print] to change the current printer
- Select Adobe PDFWriter or other PDF software printer driver

Note: This process may change depending on the word processing program or other PDF conversion software. It may be necessary to find the printer selection options elsewhere. Please consult the user manual for your software.

• [Print] the file. The file does not actually print; it begins the conversion

process asking for a file name and saving location. (Be sure to note the "Save in:" location.)



• Name the file, add the .pdf extension, and click [Save]. The document now has been converted to PDF format under the newly assigned name, and the original document remains in the word processing format.

PDF Documents Requirements

Documents converted from any word processing program must

- Comply with PDF versions 1.0 through 1.4
- Not contain security settings or have all security settings deactivated
- Have all fonts referenced in the record, including the "base 14 fonts," embedded

Documents scanned

- Use at least 250-300 dpi for scanning
- Set image type to black and white bitmap, text (image only), or line art
- Adjust brightness to best possible view
- Must be identical in content and appearance to the source document

Basics

User Interactions

There are three general types of user interactions allowed by the system:

- 1. Entering data;
- 2. Using command buttons to direct system activities; and
- 3. Mouse-clicking on hyperlinks.

Command buttons and hyperlinks are used to direct the system to the next process.

Conventions Used in this Manual

- Data to be entered by the user is shown enclosed in angle brackets: <data to be entered>
- Command buttons are represented in the manual in [bracketed boldface type] or graphics
- Hyperlinks are represented in <u>underlined boldface type</u>

Documents Filed in Error

A document incorrectly filed in a case may result from (a) posting the wrong PDF file to a docket entry; (b) selecting the wrong document from the menu; or (c) entering the wrong case number and not discovering the error before completing the transaction. The ECF system does not permit you to change the misfiled document or incorrect docket entry after the transaction has been accepted.

To request a correction, telephone as soon as an error is discovered.

Help Desk - East St. Louis 1-866-867-3169

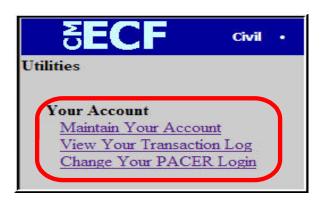
OR

Help Desk - Benton 1-866-222-2104

You will need to provide the case and document numbers for the document requiring correction. If appropriate, the court will make an entry indicating the document was filed in error. Do **NOT** attempt to re-file the document. You will be advised if re-filing is required.

Viewing the Transaction Log

Viewing the transaction log from the <u>Utilities</u> Menu allows for the review of all transactions ECF has processed with your login and password. If you believe or suspect someone is using your login and password without permission, change your password *immediately*. Then telephone the court's Help Desk at 1-866-867-3169 as soon as possible.



User's Manual

The most recent version of the User's Manual is always available at www.ilsd.uscourts.gov/cm_ecf.html in PDF format. At this location we will announce scheduled service outages, changes to policies, etc. Separate web pages are available for each of the judges of the district on the court's website at www.ilsd.uscourts.gov and should be consulted frequently for any updates regarding a particular judge's policies and practices.

Retention and Signature Requirements

(See Also Electronic Filing Rule 7)

Upon registration, users automatically endorse their electronic signature for purposes of Federal Rule of Civil Procedure 11 specifically, the Federal Rules of Civil Procedure and the Federal Rules of Criminal Procedure generally, and the local rules. The user's electronic signature also serves as a valid signature for purposes of unsworn declarations pursuant to 28 U.S.C. § 1746, service and filing pursuant to Federal Rule of Civil Procedure 5 and Federal Rule of Criminal Procedure 49, and establishing perjury pursuant to 18 U.S.C. §§ 1621-1623.

A. Documents that may be filed electronically without an accompanying signed

original hard copy

Any document allowed to be filed electronically and not requiring the submission of a signed original, as provided in subsection B, shall indicate a signature on the electronically filed document in the form "s/ Jane Doe."

Because of potential <u>perjury</u> implications, a user filing a document may only represent his or her own signature with the "s/." If, for example, a joint motion is being filed by two users who may both theoretically use the "s/" form, the user who actually electronically files the document may indicate his or her signature using the "s/" method, but the other user's signature or consent must be represented in one of the following ways.

Documents <u>not</u> falling under the ambit of subsection B which require more than one signature must be electronically filed either by: (1) submitting a scanned document containing all necessary signatures; (2) representing the consent of the other parties on the document; (3) identifying on the document the parties whose signatures are required and by the submission of a notice of endorsement by the other parties no later than three business days after filing; or (4) in any other manner approved by the court. A non-filing signatory or party who disputes the authenticity of an electronically filed document containing multiple signatures or the authenticity of the signatures themselves must file an objection to the document within ten days of service.

The originally executed hard copy must be maintained by the filer for five years after final resolution of the action, including final disposition of all appeals. The original hard copy must be produced at any time when ordered by the court.

B. <u>Documents that may be filed electronically only if accompanied by a signed original hard copy</u>

The following documents may be filed electronically only if a signed original hard copy document is also filed with the court and a copy served on all other parties:

- 1. Any document containing an oath or a declaration, certification, verification, or statement under the penalty of perjury, by any person other than an attorney of record in the case who is a user;
- 2. Any document setting forth any stipulation, by any person other than an attorney of record in the case who is a user;
- 3. In a criminal case, <u>any</u> document containing the signature of a defendant; and

4. Certified copies of judgments or orders of other courts.

Notice of Electronic Filing (NEF)

(See Also Electronic Filing Rules 3 and 8)

Electronic transmission of a document to the ECF system, together with the transmission of a Notice of Electronic Filing (NEF) from the court, constitutes filing of the document for all purposes of the Federal Rules of Civil Procedure and constitutes entry of the document on the docket maintained by the Clerk pursuant to Fed. R. Civ. P. 58, Fed. R. Civ P. 79, and Fed. R. Crim. P. 55.

Service of Document by Electronic Means

(See Also Electronic Filing Rules 3 and 8)

Whenever a pleading or other document is filed electronically, the ECF system will automatically generate and send a Notice of Electronic Filing (NEF) to the filing user and registered users of record. It is recommended that the user filing the document retain a paper or digital copy of the NEF, which shall serve as the court's date-stamp and proof of filing.

Transmission of the NEF shall constitute service of the filed document and must be deemed to satisfy the requirements of Fed. R. Civ. P. 5(b)(2)(D), Fed. R. Civ. P. 77(d) and Fed. R. Crim. P. 49(b). Nevertheless, all documents filed using the ECF system must include a certificate of service stating that the document has been filed electronically and is available for viewing and downloading from the ECF system. The certificate of service must identify the manner in which the service on each party was accomplished.

Attorneys who have not registered as users with ECF and non-prisoner pro se litigants who have not registered with ECF shall be served a paper copy of any electronically filed pleading or other document in accordance with the provisions of Fed. R. Civ. P. 5.

Service by electronic means shall be treated the same as service by mail for the purpose of adding three days to the prescribed response period.

Certificate of Service

A certificate of service in accordance with Fed. R. Civ. P. 5(d) is still required when a party files a document electronically. The certificate must state the manner in which service was accomplished on each party and should be included

as the final page of the document. You may use the "Mailing Info for a Case" feature in ECF under "Utilities" to obtain information regarding which parties are registered users of ECF and which parties require service by regular mail. *See* Appendix B for a sample certificate of service.

Transcripts

<u>Proceedings of this Court.</u> Transcripts of proceedings of this court shall be filed in hard copy and available for review in the Office of the Clerk.

<u>Transcripts from other Courts</u>. Transcripts of proceedings of other courts shall be filed electronically in PDF format, if so available, otherwise shall be filed on paper.

Privacy

(See Also Electronic Filing Rule 6 and Local Rule 5.1(d))

To address the privacy concerns created by Internet access to court documents, unless otherwise ordered by the court, the filing attorney shall modify certain personal data identifiers in pleadings and other papers as follows:

- 1. Minors' names: Use minor's initials only;
- 2. Social Security numbers: Use last four numbers only;
- 3. Dates of birth: Use year of birth only;
- 4. Financial account numbers; Identify the type of account and the financial institution, but use only the last four numbers of the account number;
- 5. Drivers License numbers: Use the last four numbers only.

It is not the responsibility of the Clerk's Office to review each document to determine if pleadings have been modified and are in proper form.

In compliance with the E-Government Act of 2002, a party wishing to file a document containing the personal data identifiers specified above may file an unredacted document under seal. This document shall be retained by the court as a part of the record. The court may, however, still require the party to file a redacted copy for the public file.

Sealed Documents

(See Also Electronic Filing Rule 6)

The filing of documents under seal is governed by Electronic Filing Rule 6, which requires that sealed documents shall not be filed electronically, but rather manually with the Clerk's Office. The first page of any such filing shall be a form entitled "Notice of Manual Filing" (*see* Appendix C). The party filing sealed documents shall also electronically file the "Notice of Manual Filing." A motion for leave to file documents under seal may be filed electronically unless prohibited by law.

Special Filing Requirements and Exceptions

- A. The following documents shall be filed only on paper:
 - 1. Documents filed under seal;
 - 2. Administrative records in social security cases and in other administrative review proceedings;
 - 3. State court records and other Habeas Corpus Rule 5 materials;
 - 4. Ex parte motions and applications; and
 - 5. Pretrial hearing and trial exhibits.
- B. The following documents **shall be filed on paper**, which **may also be scanned** into ECF by the Clerk's Office:
 - 1. Civil complaints;
 - 2. State court records filed in 28 U.S.C. § 1446 removal proceedings;
 - 3. Pleadings and documents filed by pro se litigants who are incarcerated or who are not registered filing users in ECF;
 - 4. Charging documents in a criminal case, such as the complaint, indictment, information, and criminal case cover sheet;
 - 5. Affidavits for search and arrest warrants and related papers;
 - 6. Fed. R. Crim. P. 20 and Fed. R. Crim. P. 40 papers received from other courts:
 - 7. Pleadings or documents in a criminal case containing the signature of a defendant, such as a waiver of indictment or plea agreement; and
 - 8. Petitions for violations of supervised release.

Submitting a Proposed Document

Some documents are technically not to be "filed." Rather, they are to be "submitted." For example, a proposed order, proposed amended complaint, proposed documents to be filed out of time, proposed documents in excess of page limit, "Joint Report of Parties and Proposed Scheduling and Discovery Order," writ, post-judgment notice, and certain stipulations require court approval before actually being filed and given full effect. Settlement statements, by their very nature, are ex parte and therefore never filed. Because in the CM/ECF system docket entries themselves have the full force of a court order, to prevent confusion, such documents must be attached to an e-mail sent to the e-mail address of the assigned judge. All such documents must be submitted to the court in a format compatible with WordPerfect, which is a "Save As" option in most word processing software. Such proposed documents, except for settlements statements, should also be served on all parties. The judges' e-mail addresses for such documents are as follows:

Chief Judge G. Patrick Murphy	GPMpd@ilsd.uscourts.gov
Judge J. Phil Gilbert	JPGpd@ilsd.uscourts.gov
Judge David R. Herndon	DRHpd@ilsd.uscourts.gov
Judge Michael J. Reagan	MJRpd@ilsd.uscourts.gov
Judge James L. Foreman	JLFpd@ilsd.uscourts.gov
Judge William D. Stiehl	WDSpd@ilsd.uscourts.gov
Judge Gerald B. Cohn	GBCpd@ilsd.uscourts.gov
Judge Philip M. Frazier	PMFpd@ilsd.uscourts.gov
Judge Clifford J. Proud	CJPpd@ilsd.uscourts.gov

If the motion is granted, you will be directed to electronically file the document with the court.

Filing Other Types of Documents

To file a document other than a motion or application, make the appropriate selection from the appropriate menu. The screens that follow will be very similar to those described for filing a motion.

Technical Failures

(See Also Electronic Filing Rule 10)

Scheduled service outages will be posted on the court's website at www.ilsd.uscourts.gov. Unscheduled service outages will be dealt with on an individual basis.

A Step-By-Step Guide

(See Also Electronic Filing Rule 3)

Below is a step-by-step guide for entering the system, preparing a document for filing, filing a motion or application, and displaying a docket sheet. We suggest that you go through the steps on the training database before trying to actually file a document.

How to Access the System

Users can access the system via the Internet at ecf.ilsd.uscourts.gov

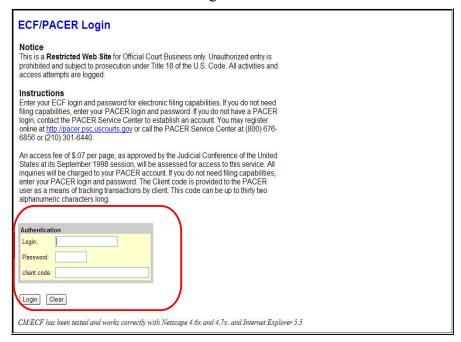


or through the court's web site at www.ilsd.uscourts.gov and click "CM/ECF" hyperlink.

Note: Users can access the "Training" database at <u>ecf-train.ilsd.uscourts.gov</u>

ECF/PACER Login

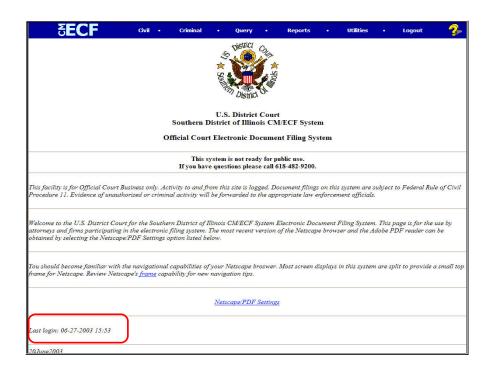
• The next screen is the login screen.



Enter your ECF login and user password in the appropriate data entry field. Then click the **[Login]** button to transmit the information to the system. All login names and passwords are case sensitive. If an error is made before submission, click **[Clear]**.

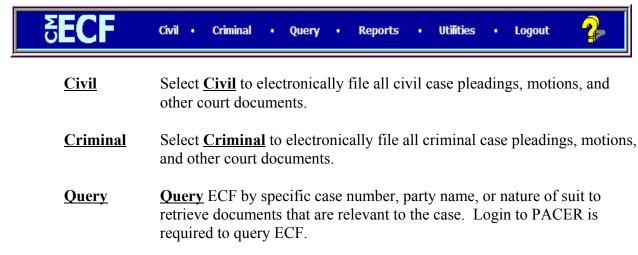
- If an invalid combination has been submitted, the system responds with an error message. Click [Back], then re-enter your login and password.
- For those current PACER users that have not registered as e-filers, you may use your PACER login to view docket sheets and documents, but you will not be able to file documents without an ECF login and password.
- The entry of a valid login and password combination prompts the system to display the **Main Menu**.

Note: The date last logged into the system appears at the bottom left corner of this screen. Please review this information at each login for security reasons. If you believe your last login date and time are incorrect, please change your password and notify the court's Help Desk (see page 2) immediately.



• Once the **Main Menu** appears, select from the **hyperlinked** options in the blue bar.

Selecting ECF Features



Reports Select **Reports** to retrieve docket sheets and cases-filed reports. Login to

PACER is required to view reports.

<u>Utilities</u> Select <u>Utilities</u> to view the transaction log and maintain personal ECF

account information.

Logout Select **Logout** to properly exit from ECF and prevent further filing with

your login and password.

Manipulating the screens

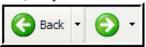
Each screen has the following two buttons:

- 1. Clear clears all characters entered in the box(es) on that screen; and
- 2. Next or Submit accepts the entry just made and displays the next entry screen, if any.

Note: Frequently it may be necessary to scroll to the bottom of the page to locate the response buttons.

Correcting a mistake

Use the [Back] and [Forward] buttons located in the top left corner on the Navigation Toolbar to go back to correct an entry made on a previous screen. However, once the document is transmitted to the court, only the court can make changes or corrections.



Civil Events Feature

There are eight basic steps involved in filing a document:

- 1. Select the type of document to file (see Appendix D);
- 2. Enter the case number in which the document is to be filed;
- 3. Select the parties filing the document;
- 4. Specify the PDF file name and location for the document to be filed;
- 5. Add attachments, if any, to the document being filed;
- 6. Modify docket text as necessary;
- 7. Submit the pleading to ECF; and
- 8. Receive notification of electronic filing.

Filing a Civil Complaint

Civil complaints shall be filed <u>in paper form</u> with the Clerk's Office in person or by U. S. Mail. Present the Clerk's Office with:

- (a) Complaint;
- (b) Civil Cover Sheet (JS-44c); and
- ©) \$150.00 filing fee payment in the form of a check, credit card, money order, or cash.

Note: The Clerk's Office will open the case in ECF, scan the complaint into PDF format, and docket the complaint. You will receive electronic notice of the filing of the complaint if you are registered with an ECF login and password. **This does not constitute service pursuant to Federal Rule of Civil Procedure 4.**

Filing a Motion



1. Select the type of document to file

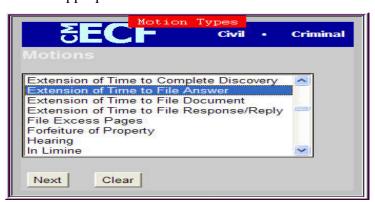
- Select <u>Civil</u> from the blue bar at the top of the Main Menu
- Click <u>Motions</u>

This section describes the process for filing a **Motion** in ECF. The process is similar for filing other pleadings in ECF.



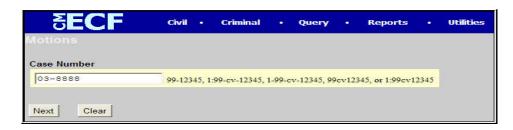
• Select the type of motion

Use the scroll bar or the arrow keys to find the type of motion to be filed, then click the item to select. For multiple part motions, press and hold down the **Ctrl** key and click the appropriate forms of relief.



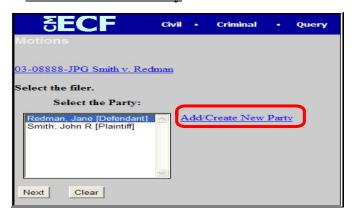
2. Enter the case number

- If the number is entered incorrectly, click Clear to re-enter OR
- If the system indicates an invalid case number, click [BACK] to re-enter.
- When the case number is correct, click Next



3. Select the name of the party for whom the motion is being filed

- Click the down arrow to the right of the box to scroll through the list **OR** if the name does not appear on the list
- Click Add/Create New Party



The name of the party may exist in the database even though it is not linked to the case.

When searching, type a minimum of the first two letters of the business' name or individual's last name.



• Click <u>Search</u>

The computer displays a list of party names in the alphabet range selected. If the name of the party appears, select it and click "**Select name from list**."

OR

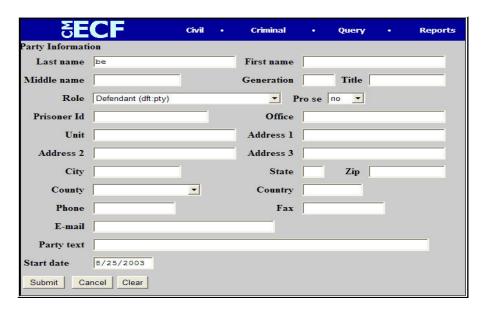
If the party name is not on the list or the search returns "**No person found**," click

Create new party

See party name standards Appendix E.

If you select a party name already in the database, review the party information

and be sure to select the party's role in this filing. Click the white box to the right of role and select the correct role, *i.e.*, plaintiff, defendant, etc. If no role is selected, the default role of defendant will be entered.



- Enter the information requested (Be sure to select the proper party role)
- Click Submit

Note: Party text is additional information about the party as it is listed on the pleading (*e.g.*, in his or her official capacity). Party text will only appear on the docket sheet next to the parties' names.

It may be necessary to complete the attorney/case association listed below.

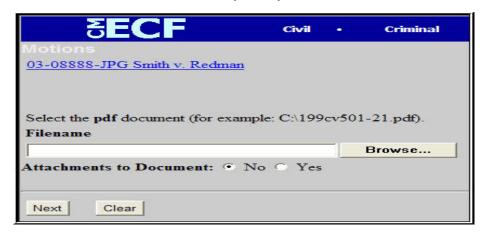
• Click on the box to the left of the appropriate party to complete the association



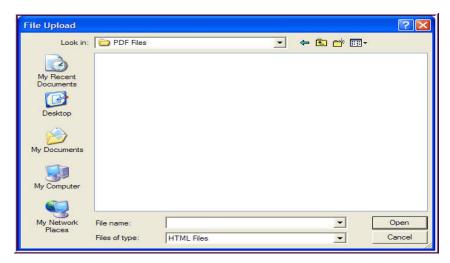
4. Specify the PDF file name and location for the document to be filed

The next screen prompts for the selection of a PDF filename of the document being filed.

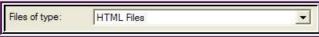
The document <u>must</u> be in PDF format with a .pdf suffix. If not, the document will not be readable by the system.



- Enter the full path name of the document to be filed in the space provided **OR**
- Click **Browse...** to navigate to the appropriate directory and file, then select the document



• Change the **Files of type** from

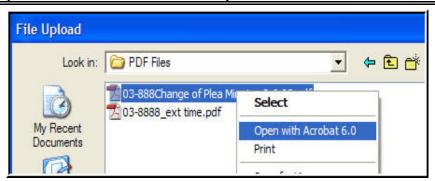


• to



• Select the file to upload to ECF

Note: To verify the document being filed is the correct document, right click the file name to display the quick menu and left click to open. The .pdf document will open and MUST be viewed to verify it is the correct document.

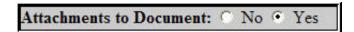


- Once verified for correctness, click [Open]. The File Upload screen closes, and the document is posted to the PDF file name on the Motions screen.
- If there are <u>no attachments/exhibits</u> to the motion
 - Click No
 - Proceed to Step 6

5. Adding <u>attachments/exhibits</u> to documents

(See Also Electronic Filing Rule 5)

• If there are attachments to the motion



- Click Yes
- Click Next

An additional screen is displayed (all exhibits must be attached to the motion) which allows for the attachment of one or more documents.



- Under **Type**, click the (drop-down) list then select the appropriate type of attachment
- Click in the **Description** box and type the description
- At the prompt: "Please select the PDF filename of the document you are filing," type in the PDF name of the attachment, or click Browse... to search
- Click Add to List
- If there are additional attachments, repeat this sequence for each
- When all documents are attached, click Next

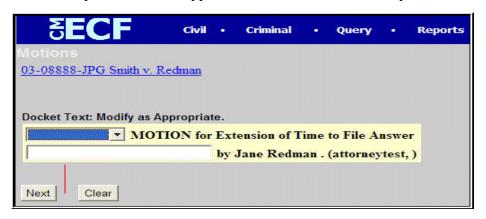
When attachments and exhibits are in paper format

Any attachment and/or exhibit that cannot be created and filed electronically, or is greater than 20 pages, should be submitted in paper format. Present the attachment and/or exhibit to the clerk's office with the required notice. A document filed electronically that has related exhibits, attachments or briefs filed conventionally shall include, on the last page of the document, a form entitled "Notice of Manual Filing." (See Electronic Filing Rule 5 and Appendix C)

Note: Filers must not attach any pleading or other paper already on file with the court. Merely refer to that document number.

6. Modify docket text

- Click the (drop-down) modifier list and select the appropriate modifier if any
- Click the open text area to type additional text for the description



7. Submit the pleading to ECF

- Click Next and a new **Motion** window appears with the complete text for the docket entry
- Review the docket text and correct any errors
 [If modification is needed on a previous screen, click [Back] on the
 browser toolbar]



• Click Next to file the pleading

Note: The above screen contains the following warning.

Pressing the NEXT button on this screen commits this transaction. You will have no further opportunity to modify this transaction if you continue.

At any time prior to this step, you can abort the ECF filing or return to previous screens by:

• Clicking any hyperlink on the **Blue** ECF menu bar to abort the current transaction. ECF will reset to the beginning of the process selected.

OR

Clicking the Web Browser [Back] button to the desired screen.

8. Notice of Electronic Filing (NEF)

• ECF opens a new **Motions** window displaying the official filing receipt

03-08888-JPG Smith v. Redman **U.S. District Court** Southern District of Illinois CM/ECF Test Database Notice of Electronic Filing The following transaction was received from attorneytest, entered on 8/25/2003 at 3:44 PM CDT and filed on 8/25/2003 Case Name: Smith v. Redman Case Number: 4:03-cv-8888 Filer: Jane Redman Document Number: 2 First MOTION for Extension of Time to File Answer by Jane Redman. (attorneytest,) The following document(s) are associated with this transaction: Document description: Main Document Original filename:n/a Electronic document Stamp: [STAMP dcecfStamp_ID=1047403380 [Date=8/25/2003] [FileNumber=35-0] [6b c71fd69efe653b412e5e7d0ddda1cd4c6d2a4c71b30d498de8c0c72cf741c20c4c0d09 aebe1ab818dddbdac59c80383679ff8b49249c22a0ea8ddfd7b48df5]] 4:03-cv-8888 Notice will be electronically mailed to: 4:03-cv-8888 Notice will not be electronically mailed to: Perry Mason

- This screen provides confirmation that ECF has registered your transaction, and the pleading is now an official court document. It also displays the date and time of your transaction, as well as the number assigned to the document. Please note the number of the document.
- Select [Print] on the browser toolbar to print the document receipt OR
- Select [File] on the browser menu bar, and choose Save Frame As... from the drop-down window to save the receipt to a file on the hard drive of your computer.

Note: The **Notice of Electronic Filing** constitutes the file stamp. The court strongly urges you to copy it to a file on your computer hard drive, print it, and retain a copy for your personal files.

E-Mail Notification of Documents Filed

(See Also Electronic Filing Rule 8)

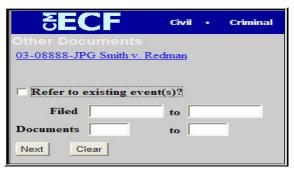
ECF will electronically transmit the Notice of Electronic Filing to the other attorneys and parties to the case who have supplied their e-mail address to the court. The ECF filing report also displays the names and addresses of individuals who will <u>not</u> be electronically notified of the filing. It is the filer's responsibility to serve hard copies of the pleading and the Notice of Electronic Filing on attorneys and parties who are not set up for electronic notification.

Individuals who receive Notice of Electronic Filing are permitted one "free look" at the document by clicking on the associated hyperlinked document number embedded in the Notice of Electronic Filing. The filer is permitted one free look at the document *and* the docket sheet to verify that the pleading was properly docketed. The court strongly urges you to copy the Notice of Electronic Filing and documents to your hard drive for future access. Subsequent retrieval of the case docket sheet and pleading from CM/ECF must be made through your PACER account and is subject to regular PACER fees.

Note: It is the filer's responsibility to serve hard copies of the pleading upon attorneys and parties who are not registered for electronic notification.

Linking Document (Refer to Existing Events)

Some documents such as affidavits and supplements should be "linked" to their related events in the case. When filing these and certain other types of documents the following screen will be presented



An "event" is anything that has been filed in the case. To "link" the document currently being filed to a previously filed document

- Check the box in front of "Refer to existing event(s)?" (the date filed or the document number may also be entered)
- Click Next



- Click the checkbox for the document to be linked
- Click Next

Criminal Events Feature

Note: Only counsel of record in a criminal case and other specifically authorized users will have electronic access to criminal cases. Non-parties may access the electronic documents at a public user terminal at the courthouse in East St. Louis or Benton. *See Also Electronic Filing Rule 11*

Filing Documents for Criminal Cases

There are nine basic steps involved in filing a criminal document:

- 1. Select the type of document to file (see Appendix D);
- 2. Enter the case number in which the document is to be filed;
- 3. Designate the defendant to whom the filing relates;
- 4. Designate the party(s) filing the document;
- 5. Specify the PDF file name and location for the document to be filed;
- 6. Add attachments, if any, to the document being filed;
- 7. Modify docket text as necessary;
- 8. Submit the pleading to ECF; and
- 9. Receive notification of electronic filing.

Filing a Pleading or Document



1. Select the type of document to file

• Select Criminal from the blue menu bar at the top of the Main Menu

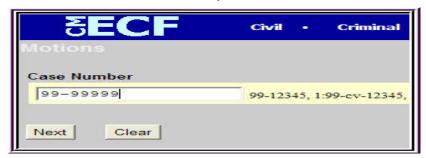
This section describes the process for filing a **Motion** in ECF. The process is similar for filing other pleadings in ECF.

Click Motions under Motions and Related Filings



2. Enter the case number

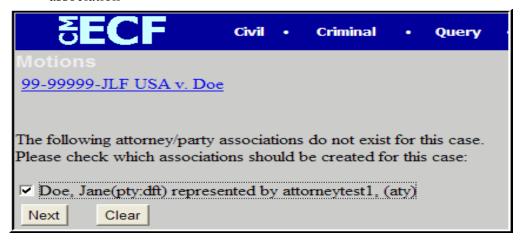
- If the number is entered incorrectly, click Clear to re-enter OR
- If the system indicates an invalid case number, click [BACK] to re-enter.
- When the case number is correct, click Next



3. Designate the defendant to whom the filing relates

Note: It may be necessary to complete the attorney/case association listed below.

• Click on the box to the left of the appropriate defendant to complete the association



4. Select the type of motion

• Use the scroll bar or the arrow keys to find the type of motion to be filed, then click the item to select. For multiple part motions, press and hold down the **Ctrl** key and click on the appropriate forms of relief.

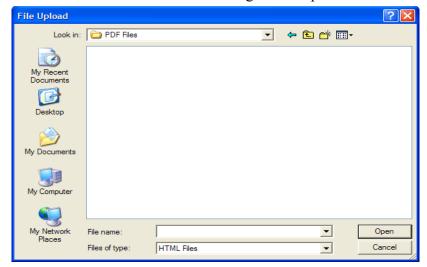


5. Specify the PDF file name and location for the document to be filed

Note: The document <u>must</u> be in PDF format with a .pdf suffix. If not, the document will not be readable by the system.



• Click **Browse...** and the following screen opens



• Change the **Files of type** from

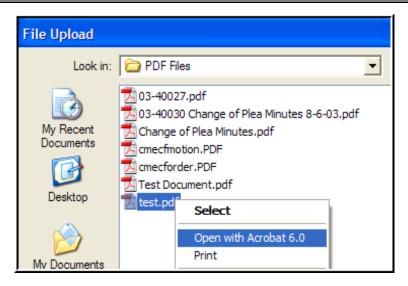


• to



• Select the file to upload to ECF

Note: To verify the document being filed is the correct document, right click the file name to display the quick menu and left click to open. The .pdf document will open and MUST be viewed to verify it is the correct document.



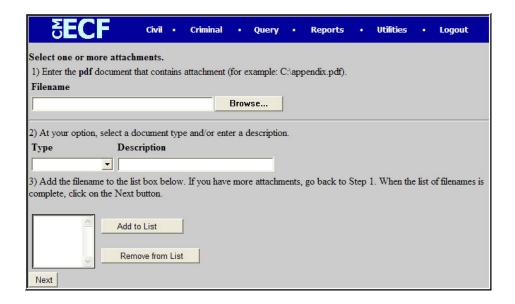
- Once verified for correctness, click [Open]. The File Upload screen closes and the document is posted to the PDF file name on the Motions screen.
- If there are no attachments to the motion,
 - Click No
 - Click Next
 - Proceed to Step 7 "Modifying Docket Text" to continue filing

6. Adding attachments, if any, to the document being filed (See Also Electronic Filing Rule 5)

Note: Proposed orders are not to be submitted as attachments. The procedure is addressed in the Basics section.

- Attachments to Document: No 🤄 Yes
 - Click Yes
 - Click Next

An additional screen is displayed (all exhibits must be attached to the motion) which allows for the attachment of one or more documents.



- Under **Type** click the (drop-down) list and select the appropriate type of attachment
- Click in the **Description** box and type the description
- At the prompt, "Please select the PDF filename of the document you are filing," type in the PDF name of the attachment or click Browse... to search
- Click Add to List

- If there are additional attachments, repeat this sequence for each
- When all documents are attached, click

When attachments and exhibits are in paper format

Any attachment or exhibit that cannot be created and filed electronically, or is greater than 20 pages, should be submitted on paper. Present the attachment or exhibit to the Clerk's Office with the required notice. *See Electronic Filing Rule 5 and Appendix C*.

Note: Filers must not attach as an exhibit any pleading or other paper already on file with the court. Merely refer to that document number.

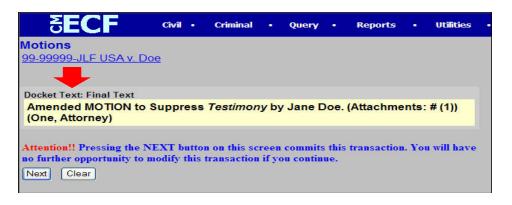
7. Modify docket text



- Click the (drop-down) modifier list and select the appropriate modifier, if any
- Click the open text area to type additional text for the description
- Click Next

8. Submit the pleading to ECF

- A new **Motion** window appears with the complete text for the docket entry
- Review the docket text carefully and correct any errors
 [If modification is needed on a previous screen, click [Back] on the
 browser toolbar.]



• Click Next to file the pleading

Note: The above screen contains the following warning.

Pressing the NEXT button on this screen commits this transaction. You will have no further opportunity to modify this transaction if you continue.

At any time prior to this step, you can abort the ECF filing or return to previous screens by:

• Clicking any hyperlink on the **Blue** ECF menu bar to abort the current transaction. ECF will reset to the beginning of the process selected.

OR

Clicking the Web Browser [Back] button to the desired screen.

9. Notice of Electronic Filing (NEF)

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- This screen provides confirmation that ECF has registered your transaction, and the pleading is now an official court document. It also displays the date and time of your transaction as well as the number assigned to the document. Please note the number of the document.
- Select [**Print**] on the browser toolbar to print the document receipt
- Select [File] on the browser menu bar, and choose Save Frame As.... from the drop-down window to save the receipt to a file on the hard drive of your computer

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E-Mail Notification of Documents Filed

(See Also Electronic Filing Rule 8)

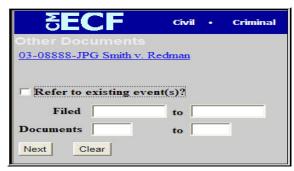
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Linking Document (Refer to Existing Events)

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An "event" is anything that has been filed in the case. To "link" the document currently being filed to a previously filed document

- Check the box in front of "Refer to existing event(s)?" (the date filed or the document number may also be entered)
- Click Next



- Click the checkbox for the document to be linked
- Click Next

Query Feature

Registered participants should use this feature to query for specific case information.

Click Query

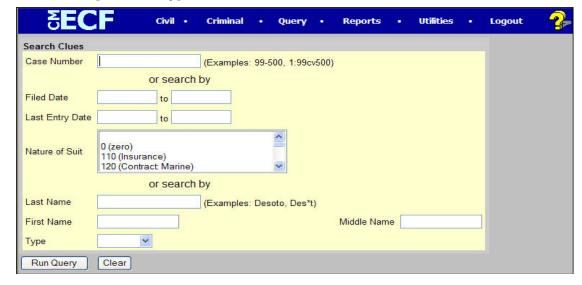


The PACER login screen opens. Enter your PACER login and password.

Note: The PACER login and password are different from the ECF login and password. You must have a PACER account in order to retrieve, view, and print certain documents. Beginning July 2002, you will be charged a fee of \$.07 per page to access documents, docket sheets, etc., from ECF.

After entering the PACER login and password, ECF opens a query data entry screen as depicted below.

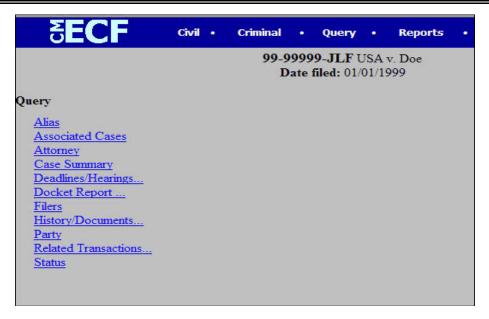
- Enter the Case Number
- Click [Run Query]



- It is possible to query by the name of the party or an attorney in a case. Enter the requested information in the appropriate field name.
- If more than one person with the same name is in the database, ECF returns a screen from which to select the correct name. Click the name of the correct party.

• Should the individual be a party in more than one case, ECF will open a screen listing all the individual's cases. Click the case number hyperlink.

Note: Query is also possible by nature of suit. Enter a range for either the filed date or the last entry date. To search for all cases of a certain type, use the date 1/1/1970 as the "beginning of time." When the Query is run, all cases that meet the criteria will be displayed.



At the top of the window, ECF displays the case number, parties to the case, presiding judge, date that the initial action was filed, and date of the last filing for the case. Select the specific case information from a large list of query options by clicking on the appropriate hyperlinked name on the screen. The following paragraphs describe several of the available case-specific query options.

Attorney

Displays the names, addresses, and telephone numbers of the attorneys who represent each of the parties in the case.

Case Summary

Provides a summary of current case-specific information.

Deadlines/Hearings

Produces a screen that allows you to query the database by various means to obtain hearing and other scheduled deadlines.

Docket Report

Produces a full or partial docket report depending on the criteria selections as well as hyperlinks to the PDF files and NEF for the documents.

History/Documents

Produces the case event history with docket text and documents associated with the case.

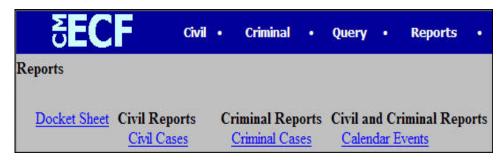
Other Queries

The process for selecting and processing other queries is similar to what is described above.

Reports Feature

The Reports feature of ECF provides users with several report options.

Click Reports



• Docket Sheet, Civil Cases, and Criminal Cases directly connect to your PACER login and password. Calendar Events are available directly from ECF.

Docket Sheet

- Click Docket Sheet
- Select search criteria
- Click [Run Report]



Civil Cases Report

The **Civil Cases** report provides the flexibility to query ECF to locate cases electronically filed within a specific date range or by Nature of Suit and Cause Code.

- Click Civil Cases
- Select criteria
- Click [Run Report]



Other report options are similar in features.

Utilities Feature

The Utilities feature provides registered users access to maintain their account in ECF and view their ECF transactions.

Click Utilities



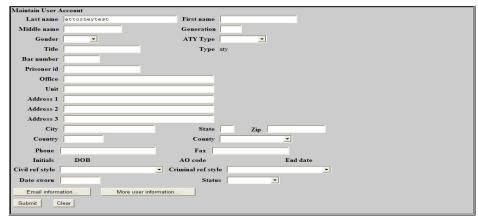
Your Account

This section of the **Utilities** feature provides the capability to maintain certain aspects of the ECF account with the court and to view a log of your transactions within ECF.

Maintain Your Account

• Click Maintain User Account

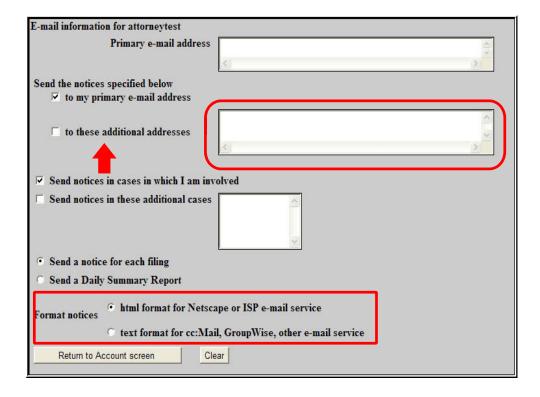
This screen displays all the registration information contained within the ECF database for your account. Please contact the Clerk's Office should any changes need to be made to your account data.



• Click [Email Information]

ECF will e-mail the **Notices of Electronic Filing** based upon the information entered on this screen. Perform the following steps to enter additional e-mail addresses which will receive ECF notification.

- Click to insert a checkmark in the box to the left of "to these additional addresses"
- Enter the e-mail addresses of individuals. This data entry field has a capacity of 250 characters for approximately ten e-mail addresses.
- Stipulate the format of the ECF notices
- To enter all new information in your screen, click Clear OR
 Click Return to Account Screen to save changes



To edit or view login information for your account

Click More User Information



This screen displays the user login information and provides access to change your ECF password. Notice ECF displays a string of asterisks in the **Password** field. To change your ECF password, place the cursor in the **Password** field and delete the asterisks. Type the new password. ECF displays the actual characters of your new password. When complete, click [**Return to Account Screen**]. When all changes are complete, click [**Submit**] on the very bottom of the **Maintain User Account** screen to submit the changes to ECF. ECF will confirm that all updates were accepted. Begin using the new password during the next ECF session.

View Your Transaction Log

From the **Utilities** screen, click **[View Your Transaction Log]**. ECF opens a screen with two fields for entering the Date Selection Criteria for a Transaction Log Report. Enter the date range and click **[Submit]**. ECF displays a report of all transactions in ECF within the date range specified for this account.



Use this feature to review your transactions and to verify

- All your transactions are reflected in the log
- No unauthorized individuals have entered transactions into ECF using your login and password

Miscellaneous

ECF provides three **Miscellaneous** functions within the Utilities feature.

- 1. Legal Research;
- 2. Mailings; and
- 3. Verify a Document.

<u>Legal Research</u> opens a new screen that contains hyperlinks to a Law Dictionary, a Medical Dictionary, and Westlaw via the Internet.

Mailings opens a new screen for requesting mailings from ECF.

<u>Verify a Document</u> opens a query screen to locate a particular document attached to a specific case number.

Logout

After all transactions are complete, click **Logout** to properly exit from the system.



A small box will appear in the upper left corner indicating you are logging out of ECF.

APPENDIX A UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF ILLINOIS

ELECTRONIC FILING REGISTRATION FORM

Instructions: Complete this form to request an Electronic Case Filing (ECF) account from the United States District Court, Southern District of Illinois. Complete the form on-line and submit the form on-line or print a hard copy, sign it, and present it to the Clerk's office at the address provide. **After verification, your user id and password will be electronically mailed to the e-mail address listed on the registration form.**

Please Print or Type

	J P -
First Name: Midd	le Initial/Name:
First Name: Middl Last Name:	Generation (i.e., Sr., Jr.):
Bar Id No.:	
Affiliation/Firm Name:	
Maning Address(cs).	
City:	Zip Code:
Telephone Number: () E-mail Address:	Fax Number: ()
Are you admitted to practice in the Southern District of Ill If Yes, are you a member in good standing? Are you admitted to practice pro hac vice in the Southern	□ Yes □ No
□ I already have an ECF login that I use at another court, we By submitting this registration form, the undersigned agrees governing the use of ECF. The undersigned also consents to via the court's ECF. The combination of user id and password documents. Attorneys must protect the security of their pass their password has been compromised.	to abide by all court rules, orders, policies, and procedures receiving NEF pursuant to Fed. R. Civ. P. 5(b) and 77(d) rd will serve as the signature of the attorney filing the
Click button below to submit form on-line or submit completed Registration Form to the clerks office or via U. S. Mail to: United States District Court Southern District of Illinois Attn: ECF Registration P. O. Box 249 East St. Louis, IL 62202	Court Use Only User Code Assigned: Password Assigned: Issuer:

Once your registration is complete, you will receive notification as to your user id and password. Procedures for using the system will be available for downloading at www.ilsd.uscourts.gov. You may contact the ECF Help Desk at 1-866-867-3169 or 1-866-222-2104 if you have questions concerning the registration process.

APPENDIX B

UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF ILLINOIS

Plaintiff

v. Case No.

Defendant

Certificate of Service

I hereby certify that on [date], I electronically filed [document] with the Clerk of Court using the CM/ECF system which will send notification of such filings(s) to the following:

[names of individuals which will receive NEF],

and I hereby certify that on [date], I mailed by United States Postal Service, the documents(s) to the following non-registered participants:

[names of non-registered participants].

Respectfully submitted, s/[Name of Password Registrant] Name of Password Registrant Address City, State, Zip Code Phone: (xxx) xxx-xxxx

Fax: (xxx) xxx-xxxx E-mail: xxx@xxx.xxx

[attorney bar number, if applicable]

APPENDIX C

UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF ILLINOIS

Plaintiff	
V.	Case No.
Defendant	
NOTICE OF MANUAL FILING	
Please take notice the following document or item	nat [Plaintiff/Defendant, Name of Party] has manually filed the n:
[Title of Document of	or Item]
This document has r	not been filed electronically because:
□ The document or	item cannot be converted to an electronic format
☐ The electronic fil (Electronic Filing	e size of the document exceeds 20 pages g Rule 5)
☐ The document or (Electronic Filing	item is filed under seal g Rule 6)
[Plaintiff/Defenda order.	ant] is excused from filing this document or item by court
Other	
The document or item has be	en manually served on all parties.
	Respectfully submitted,

Address

City, State, Zip Code Phone: (xxx) xxx-xxxx Fax: (xxx) xxx-xxxx E-mail: xxx@xxx.xxx

s/[Name of Password Registrant]
Name of Password Registrant

[attorney bar number, if applicable]

APPENDIX D

ECF Civil Events for Attorneys and Pro Se Users

Initial Pleadings and Service

Complaints and Other Initiating Documents

Amended Complaint

Application for Stay of Execution

Application for Writ of Garnishment

Bankruptcy Appeal

Case Transferred In - District Transfer

Case Transferred In - Divisional Transfer

Case Transferred Out - Divisional Transfer

Complaint

Counterclaim

Crossclaim

Intervenor Complaint

Motion to Vacate/Set Aside/Correct Sentence (2255)

Notice to Take Foreign Deposition

Petition for Writ of Habeas Corpus

Petition to Enforce IRS Summons

Petition to Perpetuate Testimony

Registration of Foreign Judgment

Third Party Complaint

Service of Process

Request for Waiver of Service

Service by Publication

Summons Returned Executed

Summons Returned Executed as to USA

Summons Returned Unexecuted

Waiver of Service Executed

Waiver of Service Unexecuted

Writ of Habeas Corpus ad Prosequendum Executed

Writ of Habeas Corpus ad Prosequendum Unexecuted

Writ of Habeas Corpus ad Testificandum Executed

Writ of Habeas Corpus ad Testificandum Unexecuted

Answers to Complaints

Other Answers

Affirmative Defenses
Amended Answer to Complaint
Answer to Writ of Garnishment
Claim
Objection to Report and Recommendations
Response to Objection to Report and Recommendations
Traverse

Motions and Related Filings

Motions

Adopt Expedite

Alter Judgment Extension of Time to Amend

Amend/Correct Extension of Time to Complete Discovery

Appeal In Forma Pauperis

Appoint Counsel

Appoint Expert

Extension of Time to File Answer

Extension of Time to File Document

Extension of Time to File Response/Reply

Appoint Guardian/Attorney ad Litem File Excess Pages

Appoint Receiver For Order

Approve Consent Judgment Forfeiture of Property

Attorney Fees Hearing
Bar In Limine
Bifurcate Intervene
Bill of Costs Issuance

Bond Issuance of Warrant in rem

Certificate of Appealability Joinder

Certify Judgment Debtor Exam

Certify Class Judgment NOV

Change Venue Judgment as a Matter of Law Clarify Judgment of Forfeiture Compel Judgment on the Pleadings Consolidate Cases Judgment under Rule 54(b)

Contempt Leave to Appeal

Continue Leave to File Document

Copy Letters Rogatory

Declaration of Mistrial Lift Stay

Declaratory Judgment Miscellaneous Relief
Default Judgment More Definite Statement

Deposit Funds New Trial
Directed Verdict Order of Sale

Disbursement of Funds
Discharge
Permanent Injunction
Disclosure
Discovery
Proceed In Forma Pauperis

Dismiss Produce

Disqualify Counsel Protective Order

Disqualify Judge Quash

Disqualify Juror Reassign Case Effect Levy Reconsideration

Enforce Recusal Enforce Judgment Reinstate

Entry of Default Release of Bond Obligation

Exclude Release of Exhibits

Motions (Continued)

Release of Funds
Remand
Substitute Attorney
Substitute Party
Reopen Case
Summary Judgment

Return of Property Supplement Review Suppress

Sanctions Take Deposition
Seal Taxation of Costs

Seal Case Temporary Restraining Order

Seal Document Transfer Case
Sealed Motion Unseal Case
Service by Publication Unseal Document

Set Aside Vacate
Set Aside Default Waive
Set Aside Forfeiture Withdraw

Set Aside Judgment Withdraw Reference Set Aside Verdict Withdraw as Attorney

Settlement Writ

Sever Writ of Garnishment

Show Cause Writ of Habeas Corpus ad prosequendum Stay Writ of Habeas Corpus ad testificandum

Strike Writ of Mandamus

Responses and Replies

Memorandum in Opposition to Motion Memorandum in Support of Motion

Reply to Response to Motion

Response

Response in Opposition to Motion Response in Support of Motion

Response to Motion

Other Filings

Discovery Documents

Answer to Interrogatories

Deposition

Disclosure of Interested Parties

Request for Admissions

Notices

Notice (Other)

Notice of Appearance

Notice of Application for Writ

Notice of Change of Address

Notice of Lis Pendens

Notice of Removal

Notice of Settlement

Notice of Statement of Compliance

Notice of Voluntary Dismissal

Trial Documents

Exhibit List

Expert Witness Designation

Trial Brief

Appeal Documents

Appeal of Magistrate Judge Decision to District Court

Appellants Brief

Appellants Reply Brief

Appellees Brief

Designation of Record on Appeal

Docketing Statement

Notice of Appeal

Notice of Cross Appeal

Notice of Interlocutory Appeal

Other Documents

Abstract of Judgment

Acknowledge of Receipt of Exhibits

Affidavit

Amended Document (NOT Motion)

Application for Writ

Bill of Costs

Consent to Removal

Financial Affidavit - CJA 23

Joinder

Joint Report of Parties

Jury Demand

Memorandum in Support of 2255

Objections to Answer to Writ

Petition for Writ of Mandamus

Pretrial Memorandum

Release of Lien

Report of Sale

Response to Order to Show Cause

Satisfaction of Judgment

Status Report

Stipulation

Stipulation for Release of Exhibits

Stipulation of Dismissal

Suggestion of Bankruptcy

Suggestion of Death

Supplement

Social Security Events

Social Security Documents

Social Security Brief by Defendant

Social Security Brief by Plaintiff

Social Security Reply Brief by Plaintiff

Social Security Transcript of Administrative Record

ECF Criminal Events for Attorneys

Charging Instruments and Pleas

Plea-Related Documents

Plea Agreement Stipulations of Fact

Motions and Related Filings

Motions

Alter Judgment Extension of Time to File Response/Reply

Amend/Correct Extension of Time to Indict

Appeal In Forma Pauperis

Appear

Appear

File Amicus Brief
Forfeiture of Property
Handwriting Exemplars

Appoint Expert Hearing
Attorney Fees In Limine
Bar Inspect

Bifurcate Issuance of Warrant in rem

Bill of Particulars Joinder

Bond Judgment NOV

Brady Material Judgment of Acquittal Certificate of Appealability Leave to Appeal

Change Venue Leave to File Document

Compel Medical Exam
Contact Visit Medical Treatment
Continue Miscellaneous Relief

Copy Modify Conditions of Release

Declaration of Mistrial New Trial

Detain Order of Competency to Stand Trial

Directed Verdict Permit Marriage

Disclosure Produce

Discovery Protective Order
Dismiss Psychiatric Exam
Disqualify Counsel Psychiatric Treatment

Disqualify Judge Quash

Disqualify Juror Quash Indictment/Information

Early Termination of Probation Reconsideration

Exclude Recusal

Expedite Reduce Sentence - Not Sealed

Extension of Time to File Document Reinstate

Release Bond Obligation

Release from Custody

Release of Exhibits

Release of Funds

Sever Defendant

Show Cause

Speedy Trial

Remand Strike
Remission of Assessment Subpoena

Return of Property/Post Trial Substitute Attorney

Return of Property/Pre Trial Supplement
Revocation of Probation Suppress
Revocation of Supervised Release Surrender

Revoke - Not Sealed Temporary Custody Transfer - Not Sealed

Sanctions Travel
Seal Unseal Case
Seal Case Unseal Document

Seal Document Vacate
Sealed Motion Warrant

Sentencing Departure - Not Sealed Warrant for Arrest of Property

Separate Trial on Counts Withdraw Document
Service by Publication Withdraw Plea of Guilty

Set Aside Forfeiture Withdraw Plea of Nolo Contendere

Set Aside Judgment Withdraw as Attorney

Set Aside Sentence Writ

Writ of Habeas Corpus ad prosequendum Writ of Habeas Corpus ad testificandum

Responses and Replies

Memorandum in Opposition to Motion Memorandum in Support of Motion

Reply to Response

Response

Response in Opposition Response in Support Response to Motion

Other Filings

Discovery Documents

Notice of Insanity Defense Notice of Insanity Witness Withdrawal of Insanity Defense Withdrawal of Insanity Witness

Waivers

Waiver of Counsel

Waiver of Indictment

Waiver of Interstate Agreement on Detainers

Waiver of Preliminary Examination or Hearing

Waiver of Presence at Arraignment

Waiver of Presentence Investigation Report

Waiver of Rule 40 Hearings

Waiver of Speedy Trial

Waiver of Trial by Jury

Service of Process

Application for Writ of Habeas Corpus ad Prosequendum

Application for Writ of Habeas Corpus ad Testificandum

Judgment Returned Executed

Search Warrant Returned Executed

Search Warrant Returned Unexecuted

Summons Returned Executed

Summons Returned Unexecuted

Warrant Returned Executed

Warrant Returned Unexecuted

Writ of Habeas Corpus ad Prosequendum Executed

Writ of Habeas Corpus ad Prosequendum Unexecuted

Writ of Habeas Corpus ad Testificandum Executed

Writ of Habeas Corpus ad Testificandum Unexecuted

Notices

Notice (Other)

Notice of Attorney Appearance - Defendant

Notice of Attorney Appearance - USA

Notice of Lien

Notice of Lis Pendens

Notice/ Acknowledgment of PSI

Release of Lien

Trial Documents

Exhibit List Trial Brief

Witness List

Appeal Documents

Appeal of Magistrate Judge Decision to District Court - Magistrate Judge Case

Designation of Record on Appeal

Docketing Statement

Notice of Appeal - Conditions of Release

Notice of Appeal - Final Judgment

Notice of Appeal - Interlocutory

Other Documents

Acknowledgment of Receipt of Exhibits

Affidavit

Affidavit - Rule 40

Amendment

Brief

Consent to Trial Before US Magistrate Judge

Elements of Offense

Financial Affidavit - CJA23

Information to Establish Prior Conviction

Medical Report

Objection

Objection to Presentence Investigation Report

Pretrial Memorandum

Psychiatric Report

Receipt for Release of Exhibits

Response to Order to Show Cause

Sentencing Memorandum - Not Sealed

Status Report

Stipulation

Submission of Relevant Offense Conduct

Supplement

Version of Offense

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APPENDIX E **INSTRUCTIONS/GUIDE FOR ENTERING PARTY NAMES**

Agencies В. **Business Names** C. Cities/States/Townships D. **John Does Estates** E. F. **Individuals** G. Minors **Property/Currency** Η. **Union Names** I. **Unknown Names** J.

NOTE: Do not enter party names using all CAPITAL letters.

A.

AGENCIES

Document Caption:

Department of Health and Human Services Kenneth S. Apfel, Commissioner of Social Security Secretary of Health and Human Services

Enter Party Name As:

Commissioner of Social Security

Document Caption:

United States of America, Internal Revenue Service Internal Revenue Service

Enter Party Name As:

Internal Revenue Service

Document Caption:

United States of America

Enter Party Name As:

USA

BUSINESS NAMES

Document Caption:

The Rust Company

Enter Party Name As:

Rust Company

Omit "A, An, or The" in the Party Name

CITIES/STATES/TOWNSHIPS

Document Caption:

The City of Collinsville

Enter Party Name As:

Last Name field: Collinsville First Name field: City of

Omit "The" in the party name

Document Caption:

City of Belleville

Enter Party Name As:

Last Name field: Belleville First Name field: City of

JOHN DOES

Document Caption:

John Doe I - X

Enter Party Name As:

Last Name field: Doe First Name field: John Party Text field: I - X

Document Caption:

John Doe Police Officers 1 - 10

Enter Party Name As:

Last Name field: Doe First Name field: John

Party Text field: Police Officers 1 - 10

Do not add the numbers, letters or roman numerals to the party name - \underline{add} as a party text

^{***}If numerous amount of "John Does" are listed separately, be sure to list together ***

ESTATES

Document Caption:

Eloise Coleman, Executor of the Estate of John Coleman

Enter Party Name As:

Last Name field: Coleman First Name field: Eloise

Party Text field: Executor of the Estate of John Coleman

INDIVIDUALS

Document Caption:

Sgt. James

Enter Party Name As:

Last Name field: James First Name field: Sgt.*

*Enter title of person in the first name field only when no first name is listed.

Document Caption:

E. A. Stepp, Warden

Enter Party Name As:

Last Name field: Stepp First Name field: E. Middle Name field: A. Party Text field: Warden

Document Caption:

Thomas Green, Jr.

Enter Party Name As:

Last Name field: Green, Jr. First Name field: Thomas

Do not use the "Generation" field when entering a party name

MINORS

Document Caption:

Minor Child, by and through his guardian ad litem William P. McGuire

Enter Party Name As:

Last Name Field: McGuire First Name Field: William Middle Name field: P.

Party Text field: Minor Child, by and through his guardian ad litem

PROPERTY/CURRENCY

Document Caption:

Six Thousand Dollars in United States Currency

Enter Party Name As:

\$6,000 in United States Currency

Document Caption:

One Parcel of Real Estate located at 2313 Parkside Place, Phoenix, AZ

Enter Party Name As:

2313 Parkside Place, Phoenix AZ, One Parcel of Real Estate located at

Document Caption:

One 1982 Buick Riviera Automobile, VIN #1G4A25747CE4

Enter Party Name As:

Buick Riviera Automobile, One 1983, VIN #1G4A2547CE4

UNION NAMES

Document Caption:

Building Laborers Local 3109 Pension Fund

Enter Party Name As:

Last Name field: Building Laborers Pension Fund

First Name field: Local 3109

Always enters the "Local" in the First Name field

UNKNOWN NAMES

Document Caption:

Unknown Spouse of Kenneth M. Keller, If Any

Enter Party Name As:

Last Name field: Unknown Spouse of Kenneth M. Keller

Document Caption:

Unknown Tenants, if any, of the property located at 112 Prospect Street, Lodi, OH 44254

Enter Party Name As:

Unknown Tenants, 112 Prospect Street, Lodi, OH 44252

Party Naming Standards